I hereby certify that this correspondence is being hand delivered to: Customer Window, MS Amendment, U.S. Patent and Trademark Office, 220 20th Street S., Crystal Plaza Two, Lobby, Room 1803, Arlington, Virginia 22202, on the date shown below.

Dated: July 21, 2004 Signature:

(Nadine Johnson)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Hirotoshi KUBO et al.

Serial No.: 10/016,142

Filing Date: December 17, 2001

For: SEMICONDUCTOR DEVICE AND

MANUFACTURING METHOD

THEREOF

Examiner: C. W. Lattin

Group Art Unit: 2812

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO-1449 were cited in an Action copending U.S. patent serial no. 09/919,797 mailed on December 15, 2003 (copy attached):

This Information Disclosure Statement is submitted with the RCE application; accordingly, no fee or separate requirements are required.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **49232-20026.00**.

Dated: July 21, 2004

Respectfully submitted,

Barry E. Bretschneider

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McLean, Virginia 22102

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Form PTO-1449					Docket Number 4923	Application Number 10/016,142			
INFORMATION DISCLOSURE CITATION					Applicant				
IN AN APPLICATION					Hirotoshi KUBO et al				
(Use several sheets if necessary)					Filing Date December 17, 2001		Group Art Unit 2812		
0.					Mailing Date July 21, 2004				
JUL 2	1 2004	·							
U.S. PATENT DOCUMENTS Examiner Ref. Date Document No. Name Class Subclass Filing Date If									
Examiner Initials	Ref. No.	Date	Document No.		Name	Class	Subclass	Filing Date If Appropriate	
	1.	03/15/1988	4,731,341	Kav	wakatsu				
	2.	08/23/1994	5,340,753	Bas	sous et al.				
	3.	05/29/2001	6,239,477 B1	Joh	nson				
FOREIGN PATENT DOCUMENTS									
Examiner				Country	Class	Subclass	Trans	lation	
Initials	No.					0.1.0.0		YES	NO
	-								
OTHER DOCUMENTS (including author, title, Date, Pertinent									Pages, Etc.)
Examiner									
Initials	No.								
•									
EXAMI	NER:			DATE CONSIDERED:					
EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in									

conformance and not considered. Include a copy of this form with next communication to applicant.